



# NORTH AMERICA

## INTERNATIONAL PATENT OFFICE

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FROM: Winston Hsu, PATENT AGENT, REG NO.: 41,526

**SERIAL NO.: 10/708,275** 

ATTORNEY DOCKET NO.: ADTP0110USA

SUBJECT: INFORMATION DISCLOSURE STATEMENT

TOTAL PAGES: 46 PAGES (INCLUDING COVER PAGE)

Winston Hsu 2004/08/16

PTO/SB/97 (08-03) Approved for use through 07/31/2006, OMB 0651-0031
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| APPLICATION NUMBER: 10/708,275 PAPERS INCLUDED:   |            |  |  |  |  |
| (1) Transmittal Form  | 1 PAGE     |  |  |  |  |
| (2) Fee Transmittal   | 1 PAGE     |  |  |  |  |
| (3) Information Disclosure Statement  | 42 PAGES   |  |  |  |  |

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| TRANSMITTAL  |               | Filing Date   | 02/20/2004        |   | <u> </u>   |
| FORM   |               | First Named Inventor  | Chuan-Pei Yu      |   |  |
| (to be used for all correspondence after initi   | al filina)    | Art Unit  | 2871              |   |  |
|  | 07            | Examiner Name   |                   |   | ~  |
| Total Number of Pages in This Submission   | 44            | Attorney Docket Number  | ADTP0110USA       |   | · · · · · · · · · · · · · · · · · · ·                                  |
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| Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts  under 37 CFR 1.52 or 1.53 | Remar         | Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Add Terminal Discialmer Request for Refund CD, Number of CD(s) | ress              | to Technology Appeal Coo of Appeal Coo (Appeal No Proprietary Status Lett | losure(s) (please  |
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| Firm or Individual name  Winston Hsu, F  | <del></del>   |   | •                 |   | · · · · · · · · · · · · · · · · · · ·                                  |
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Sheet 11

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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete if Known

Application Number | 10/708,275

Filing Date | 02/20/2004

First Named Inventor | Chuan-Pei Yu

Art Unit | 2871

Examiner Name

**Attorney Docket Number** 

|  | <del></del>                                      |   |                                       | DOCUMENTS  |   |  |
|--|--|---|---------------------------------------|--|---|--|
| Examiner<br>Initials*                  | Cite<br>No.1                                     | Document Number                         | Publication Date MM-DD-YYYY           | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |
|  |  | Number-Kind Code <sup>2 (7 known)</sup> |                                       |  |   |  |
|  | 1  | <sup>US-</sup> 6,667,567                | 12/23/2003                            | Fujishiro  | ·   |  |
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| FOREIGN PATENT DOCUMENTS                      |                                       |                         |                     |  |   |   |
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|   | CRe<br>No.                            | Foreign Patent Document | Publication<br>Date | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passagas |   |
| Country Code* Number *** Kind Code* (# known) |                                       | MM-DD-YYYY              |                     | Or Relevant Figures Appear                         | T€  |   |
|   | 1                                     | TWN-550406              | 09/01/2003          | Fumihiko Fujishiro                                 |   | + |
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| Examiner<br>Signature | Date<br>Considered |          |

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional), "See Kinds Codes of USPTO Patent Documents at <a href="https://www.usrto.gov">www.usrto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. "Applicant is to place a check mark here if English language Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450. Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## **PATENT**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Chuan-Pei Yu

Han-Chou Liu

Filing Date:

02/20/2004

Art Unit:

2871

Appl. No.:

10/708,275

Docket No.: ADTP0110USA

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Title:

To:

LIQUID CRYSTAL DISLAY

Commissioner for Patents

P.O. BOX 1450

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Alexandria, VA 22313-1450

Subject:

Information disclosure statement under 37 CFR §1.56.

### Dear Sir/Madam: 20

This is an Information Disclosure Statement (IDS) in accordance with the duty to disclose information material to patentability under 37 CFR §1.56. The applicant wishes to make of record the documents listed on the accompanying PTO/SB/08 form. It is respectfully requested that the examiner initials the cited reference on the form, that this be made of record in the application, and that a copy of the initialed form be sent to the applicant with the next communication from the examiner.

30 Since this IDS is filed before the mailing date of a final action or notice of allowance, consideration of the information disclosure statement is hereby requested according to 37 CFR §1.97(c). Each item 10

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contained in the IDS was cited in communications from the Taiwan Intellectual Property Office on June 15, 2004. The applicant requests that the examiner consider the items contained in this IDS.

According to the requirement set forth in 37 CFR §1.98 and MPEP 609, the applicant is submitting a copy of Taiwan Patent document TP No. 550406 (filed on Mar 15, 2001) and a concise explanation of the relevance to this application hereinafter.

Regarding TP No.550406, Fig. 8A appears to be the most relevant drawing and discloses a liquid crystal display (LCD) 1 comprising a light source unit 4, a light diffusion plate 5, a shield rear 33, a shield center 32 which cooperates with the shield rear 33 to support the light source unit 4 and the light diffusion plate 5 such that a light emitted from the light source unit 4 passes through an upper surface of the light diffusion plate 5. The light source unit 4 has a structure as illustrated in Fig. 5. As shown in Fig.5, a vacuum-insulating layer 101 is sandwiched between two light-permeable substrates 100 and 46 with sealing blocks 44a. The lower light-permeable substrate 46 belongs to part of the light source structure 4, and the upper light-permeable substrate 100 is a substrate which cooperates with the lower light-permeable substrate 46 to provide a vacuum condition.

Claim 1 of the present application includes the above-described differences in the limitations as recited below:

- 1. A liquid crystal display comprising:
  - a backlight unit comprising:

an optical film positioned above a light source and at least including a vacuum layer; and

a liquid crystal display panel positioned above the optical film; wherein the vacuum layer is used for isolating heat generated by the light source.

From the above it can be seen that the vacuum layer is part of the optical film, for example the vacuum layer is formed by a diffusion plate and a prism, and thus the present invention is substantially different from the teachings of TP No.550406. Claims 2-12 are dependent on claim 1, and thus also include these differentiating limitations.

Sincerely,

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Winston then

Date: 8/16/22007

Winston Hsu, Patent Agent No. 41,526

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(Please contact me by e-mail if you need a telephone communication and I will return

20 your call promptly.)